- (B) Create and implement policies and practices that encourage worker participation in workplace literacy and other education and training opportunities:
- (C) Provide training that will enable partners to build a capacity to furnish necessary workplace literacy services in the future;
- (D) Establish relationships within the partnership or with other entities that will continue provision of necessary workplace literacy services after the project ends; or
- (E) Plan, after the project has ended, to expand services to other locations, divisions, or suppliers of the business or industry partners or labor organizations

(Approved by the Office of Management and Budget under control numbers 1830–0507 and 1830–0521)

(Authority: 20 U.S.C. 1211(a))

[54 FR 34418, Aug. 18, 1989. Redesignated at 57 FR 24091, June 5, 1992, and amended at 59 FR 1443, Jan. 10, 1994]

# § 472.23 What additional factor does the Secretary consider?

In addition to the criteria in §472.22, the Secretary may consider whether funding a particular applicant would improve the geographical distribution of projects funded under this program.

(Authority: 20 U.S.C. 1211(a))

## Subpart D—What Conditions Must Be Met After an Award?

## § 472.30 What are the reporting requirements?

- (a) A recipient of a grant or cooperative agreement under this program shall submit to the Secretary performance and financial reports.
- (b) These reports must be submitted at times required by the Secretary and at least semi-annually.
- (c) These reports must contain information required by the Secretary.

(Authority: 20 U.S.C. 1211(a)) [59 FR 1444, Jan. 10, 1994]

## § 472.31 What are the evaluation requirements?

(a) Each recipient of a grant or cooperative agreement under this program

shall provide and budget for an independent evaluation of project activities.

(b) The evaluation must be both formative and summative in nature.

- (c) The evaluation must be based on student learning gains and the effects on job advancement, job performance (including, for example, such elements as productivity, safety, and attendance), and project and product spread and transportability.
- (d) A proposed project evaluation design for the entire project period, expanding on the plans outlined in the application pursuant to §472.22(f), must be submitted to the Secretary for review and approval prior to the end of the first year of the project period.
- (e) A summary of evaluation activities and results that can be reviewed by the Department's Program Effectiveness Panel, as described in 34 CFR parts 785-789, must be submitted to the Secretary during the last year of the project period.
- (f) If a grantee cooperates in a Federal evaluation of its project, the Secretary may determine that the grantee fully or partially meets the evaluation requirements of this section and the reporting requirements in §472.30.

NOTE TO §472.31: As used in §472.31(c)—"Spread" means the degree to which—

- (1) Project activities and results are demonstrated to others;
- (2) Technical assistance is provided to others to help them replicate project activities and results;
- (3) Project activities and results are replicated at other sites; or
- (4) Information and material about or resulting from the project are disseminated; and
- "Transportability" means the ease by which project activities and results may be replicated at other sites, such as through the development and use of guides or manuals that provide step-by-step directions for others to follow in order to initiate similar efforts and reproduce comparable results.

(Approved by Office of Management and Budget under OMB control number 1830–0522)

(Authority: 20 U.S.C. 1211(a))

[59 FR 1444, Jan. 10, 1994]

### § 472.32 What other requirements must be met under this program?

(a) An applicant shall use funds to supplement and not supplant funds